

SUPPLEMENTAL INFORMATION DISCLOSURE CITATION

Form PTO-1449 (Modified)

(Use several sheets if necessary)

ATTY. DOCKET NO.
GUID003DIV2SERIAL NO.
09/440,106

APPLICANT

Charles S. Taylor

FILING DATE

November 15, 1999

GROUP

3736

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
m	A1	452,131	05/12/1891	Haughawout			
	A2	810,675	01/23/1906	Richter			
	A3	1,706,500	03/26/1929	Smith			
	A4	2,082,782	06/08/1937	Allen			
	A5	2,296,793	09/22/1942	Kirschbaum			
	A6	2,590,527	03/25/1952	Fluck			
	A7	2,693,795	11/09/1954	Grieshaber			
	A8	2,863,444	12/09/1958	Winsten			
	A9	3,361,133	01/02/1968	Kimberley et al.			
	A10	3,392,722	07/16/1968	Jorgensen			
	A11	3,584,822	06/15/1971	Oram			
	A12	3,683,926	08/15/1972	Suzuki			
	A13	3,720,433	03/13/1973	Rosfelder			
	A14	3,783,873	01/08/1974	Harvey Barry Jacobs			
	A15	3,807,406	04/30/1974	Rafferty et al.			
	A16	3,858,926	01/07/1975	Ottenhues			
	A17	3,882,855	05/13/1975	Shulte et al.			
	A18	3,983,863	10/05/1976	Janke et al.			
	A19	4,047,532	09/13/1977	Phillips et al.			
	A20	4,048,987	09/20/1977	Hurson			
m	A21	4,049,000	09/20/1977	Williams			

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				APPLICANT Charles S. Taylor			
				FILING DATE November 15, 1999		GROUP 3736	
3	A22	4,049,002	09/20/1977	Kletschka et al.			
3	A23	4,052,980	10/11/1977	Grams et al.			
	A24	4,096,864	06/27/1978	Kletschka et al.			
	A25	4,217,890	08/19/1980	Owens			
	A26	4,226,228	10/07/1980	Shin et al.			
	A27	4,230,119	10/28/1980	Blum			
	A28	4,300,564	11/17/1981	Flurhata			
	A29	4,306,561	12/22/1981	Luis de Medinaceli			
	A30	4,366,819	01/04/1983	Kaster			
	A31	4,368,736	01/18/1983	Kaster			
	A32	4,421,107	12/20/1983	Estes et al.			
	A33	4,428,368	01/31/1984	Torii			
	A34	4,434,791	03/06/1984	Damell			
	A35	4,457,300	07/03/1984	Budde			
	A36	4,461,284	07/24/1984	Fackler			
	A37	4,492,229	01/28/1985	Grunwald			
	A38	4,617,916	10/21/1986	LeVahn et al.			
	A39	4,627,421	12/09/1986	Symbas et al.			
	A40	4,637,377	01/20/1987	Loop			
	A41	4,646,747	03/03/1987	Lundback			
	A42	4,688,570	08/25/1987	Kramer et al.			
	A43	4,702,230	10/27/1987	Pelta			
	A44	Des 293,470	12/29/1987	Adler			
3	A45	4,718,418	01/12/1988	L'Esperance, Jr.			

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m	A46	4,726,356	02/23/1988	Santilli et al.	1	1	
	A47	4,726,358	02/23/1988	Brady	1	1	
	A48	4,736,749	04/12/1988	Lundback	1	1	
	A49	4,747,395	05/31/1988	Brief	1	1	
	A50	4,754,746	07/05/1988	Cox	1	1	
	A51	4,803,984	02/14/1989	Narayanan et al.	1	1	
	A52	4,808,163	02/28/1989	Laub	1	1	
	A53	4,827,926	05/09/1989	Carol	1	1	
	A54	4,829,985	05/16/1989	Couetil	1	1	
	A55	4,841,967	06/27/1989	Chang et al.	1	1	
	A56	4,852,552	08/01/1989	Chaux	1	1	
	A57	4,854,318	08/08/1989	Solem et al.	1	1	
	A58	4,858,552	08/22/1989	Glatt et al.	1	1	
	A59	4,863,133	09/05/1989	Bonnell	1	1	
	A60	4,865,019	09/12/1989	Phillips	1	1	
	A61	4,884,559	12/05/1989	Collins	1	1	
	A62	4,925,443	05/15/1990	Heilman et al.	1	1	
	A63	4,949,707	08/21/1990	LeVahn et al.	1	1	
	A64	4,949,927	08/21/1990	Madocks et al.	1	1	
A65	4,955,896	09/11/1990	Freeman	1	1		
A66	4,957,477	09/18/1990	Lundback	1	1		
A67	4,962,758	10/16/1990	Lasner et al.	1	1		
A68	4,971,037	11/02/1990	Pelta	1	1		
m	A69	4,973,300	11/27/1990	Wright	1	1	

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3	A70	4,989,587	02/05/1991	Farley		
	A71	4,991,578	02/12/1991	Cohen		
	A72	4,993,862	02/19/1991	Pelta		
	A73	5,009,660	04/23/1991	Clapham		
	A74	5,011,469	04/30/1991	Buckberg et al.		
	A75	5,019,086	05/28/1991	Neward		
	A76	5,025,779	06/25/1991	Bugge		
	A77	5,036,868	08/06/1991	Berggren et al.		
	A78	5,037,428	08/06/1991	Picha et al.		
	A79	5,052,373	10/01/1991	Michelson		
	A80	5,053,041	10/01/1991	Ansari et al.		
	A81	5,080,088	01/14/1992	LeVahn		
	A82	5,098,369	03/24/1992	Heilman et al.		
	A83	5,119,804	06/09/1992	Anstadt		
	A84	5,125,395	06/30/1992	Adair		
	A85	5,131,905	07/21/1992	Grooters		
	A86	5,133,724	07/28/1992	Wilson Jr. et al.		
	A87	5,139,517	08/18/1992	Corral		
	A88	5,150,706	09/29/1992	Cox et al.		
	A89	5,152,777	10/06/1992	Goldberg et al.		
	A90	5,159,921	11/03/1992	Hoover		
	A91	5,167,223	12/01/1992	Koros et al.		
	A92	5,171,254	12/15/1992	Sher		
m	A93	Re. 34,150	12/29/1992	Santilli, deceased et al.		

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					APPLICANT Charles S. Taylor			
					FILING DATE November 15, 1999		GROUP 3736	
	A94	5,196,003	03/23/1993	Bilweis				
	A95	5,231,974	08/03/1993	Giglio et al.				
	A96	5,256,132	10/26/1993	Snyders				
	A97	5,268,640	12/07/1993	Du et al.				
	A98	5,287,861	02/22/1994	Wilk				
	A99	5,293,863	03/15/1994	Zhu et al.				
	A100	5,300,087	04/05/1994	Knoepfler				
	A101	5,318,013	07/07/1994	Wilk				
	A102	5,336,252	08/09/1994	Cohen				
	A103	5,348,259	09/20/1994	Blanco et al.				
	A104	5,363,882	11/15/1994	Chikama				
	A105	5,382,756	01/17/1995	Dagan				
	A106	5,383,840	01/24/1995	Heilman et al.				
	A107	5,417,709	05/23/1995	Slater				
	A108	5,425,705	06/20/1995	Evard et al.				
	A109	5,437,651	08/01/1995	Todd et al.				
	A110	5,452,733	09/26/1995	Sterman et al.				
	A111	5,453,078	09/26/1995	Valentine et al.				
	A112	5,467,763	11/21/1995	McMahon et al.				
	A113	5,480,425	01/02/1996	Ogilvie				
	A114	5,498,256	03/12/1996	Furnish				
	A115	5,503,617	04/02/1996	Jako				
	A116	5,509,890	04/23/1996	Kazama				
	A117	5,512,037	04/30/1996	Russell et al.				

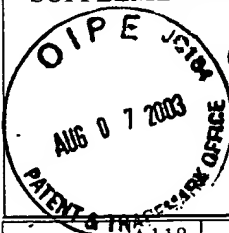
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A118	5,514,075	05/07/1996	Moll et al.				
A119	5,514,076	05/07/1996	Ley				
A120	5,520,610	05/28/1996	Giglio et al.				
A121	5,522,819	06/04/1996	Graves et al.				
A122	5,529,571	06/25/1996	Daniel				
A123	5,536,251	07/16/1996	Evard et al.				
A124	5,547,458	08/20/1996	Ortiz et al.				
A125	5,569,274	10/29/1996	Rapacki et al.				
A126	5,571,074	11/05/1996	Buckman Jr. et al.				
A127	5,571,215	11/05/1996	Sterman et al.				
A128	5,573,496	11/12/1996	McPherson et al.				
A129	5,582,580	12/10/1996	Buckman Jr. et al.				
A130	5,607,421	03/04/1997	Jeevanandam et al.				
A131	5,607,446	03/04/1997	Beehler et al.				
A132	5,613,937	03/25/1997	Garrison et al.				
A133	5,632,746	05/27/1997	Middleman et al.				
A134	5,651,378	07/29/1997	Metheny et al.				
A135	5,662,300	09/02/1997	Michelson				
A136	5,667,480	09/16/1997	Knight et al.				
A137	5,713,951	02/03/1998	Garrison et al.				
A138	5,727,569	03/17/1998	Benetti et al.				
A139	5,728,151	03/17/1998	Garrison et al.				
A140	5,730,757	03/24/1998	Benetti et al.				
A141	5,735,290	04/07/1998	Sterman et al.				

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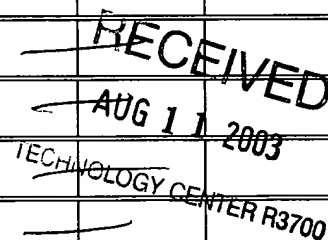
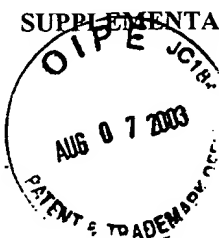
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m	A142	5,749,892	05/12/1998	Vierra et al.	—	—	
	A143	5,755,660	05/26/1998	Tyagi	—	—	
	A144	5,766,151	06/19/1998	Valley et al.	—	—	
	A145	5,772,583	06/30/1998	Wright et al.	—	—	
	A146	5,782,746	07/21/1998	Wright	—	—	
	A147	5,795,291	08/18/1998	Koros et al.	—	—	
	A148	5,797,960	08/25/1998	Stevens et al.	—	—	
	A149	5,799,661	09/01/1998	Boyd et al.	—	—	
	A150	5,807,243	09/15/1998	Vierra et al.	—	—	
	A151	5,813,410	09/29/1998	Levin	—	—	
	A152	5,818,231	10/06/1998	Smith	—	—	
	A153	5,836,311	11/17/1998	Borst et al.	—	—	
	A154	5,864,275	01/26/1999	Ohashi et al.	—	—	
	A155	5,888,247	03/30/1999	Benetti	—	—	
	A156	5,891,017	04/06/1999	Borst et al.	—	—	
	A157	5,894,843	04/20/1999	Benetti et al.	—	—	
	A158	5,927,284	07/27/1999	Borst et al.	—	—	
	A159	6,015,378	01/18/2000	Borst et al.	—	—	
	A160	6,032,672	03/07/2000	Taylor	—	—	
	A161	6,328,688	12/11/2001	Borst et al.	—	—	
	A162	6,334,843	01/01/2002	Borst et al.	—	—	
	A163	6,336,898	01/08/2002	Borst et al.	—	—	
	A164	6,364,826	04/02/02	Borst et al.	—	—	
m	A165	6,371,906	04/16/02	Borst et al.	—	—	

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A166	6,394,948	05/28/02	Borst et al.				
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subclass	Translation
	B1	168,216	9/01/1921	United Kingdom			
	B2	SU 938967	07/05/1982	Soviet Union			
	B3	DE 31 38 589 A1	04/07/1983	Germany			
	B4	WO 87/04081	07/16/1987	PCT			
	B5	WO 88/00481	01/28/1988	PCT			
	B6	EP 0 293 760 B1	12/07/1988	EPO			
	B7	EP 0 293 760 A2	12/07/1988	EPO			
	B8	EP 0 293 760 A3	12/07/1988	EPO			
	B9	DE 9004513	06/28/1990	Germany			
	B10	GB 2 233 561 A	01/16/1991	United Kingdom			
	B11	GB 2 267 827 A	12/22/1993	United Kingdom			
	B12	DE 41 39 695 A1	06/03/1993	Germany			
	B13	EP 0 630 629 A1	05/17/1994	EPO			
	B14	WO 94/14383	07/07/1994	PCT			
	B15	WO 94/18881	09/01/1994	PCT			
	B16	WO 95/01757	01/19/1995	PCT			
	B17	EP 668 058A1	02/10/1995	EPO			
	B18	WO 95/15715	06/15/1995	PCT			
	B19	WO 95/17127	06/29/1995	PCT			
	B20	WO 96/00033	01/04/1996	PCT			
	B21	WO 97/10753	03/27/1997	PCT			

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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

C1	C.W. Akins et al., "Preservation of Interventricular Septal Function in Patients Having Coronary Artery Bypass Grafts Without Cardiopulmonary Bypass," American Heart Journal, Vol. 107, No. 2 February, 1984, pgs. 304-309
C2	Ancalmo, N. and J. L. Ochsner: "A Modified Sternal Retractor," Ann. Thorac. Surg. 21 (1976) 174
C3	Angelini, G.D., M.D. et al., "A Fiber-Optic Retractor for Harvesting the Internal Mammary Artery," Ann. Thorac. Surg. (1990; 50:314-5)
C4	Angelini, G.D., M.D., "A Simple, Inexpensive Method of Heart Retraction During Coronary Artery Bypass Surgery," Ann. Thora. Surg 46:46-247, Aug 1988
C5	Ankeney, J.L., "To Use or Not To Use the Pump Oxygenator in Coronary Bypass Operations," The Annals of Thoracic Surgery, Vol. 19, No. 1, January, 1975, pgs. 108-109.
C6	Anstadt, M.P. MD et al., "Direct Mechanical Ventricular Actuation for Cardiac Arrest in Humans," Chest, vol. 100, No. 1, July 1991, pgs. 86-92.
C7	Antinori, C. et al., "A Method of Retraction During Reoperative Coronary Operations Using the Favaloro Retractor," The Society of Thoracic Surgeons: 1989.
C8	Archer, R. DO et al., "Coronary Artery Revascularization Without Cardiopulmonary Bypass," Texas Heart Institute Journal, Vol. 11, No. 1, March 1984, pgs 52-57.
C9	Arom, K.V., et al., "Mini-Sternotomy for Coronary Artery Bypass Grafting," The Annals of Thoracic Surgery 1996; 61:1271-2.
C10	Ballantyne, C.M. et al. "Delayed Recovery of Severely 'Stunned' Myocardium With the Support of a Left Ventricular Assist Device after Coronary Artery Bypass Graft Surgery," Journal of the American College of Cardiology, Vol. 10, No. 3, September 1987, pgs. 710-712.
C11	Bedellino, M.M., et al., "The Cardiac Rag - Simple Exposure of the Heart," Texas Heart Institute Journal, vol. 15, no. 2, 1988, 134-35.
C12	Beg, R.A. et al., "Internal Mammary Retractor," Ann Thorac, Surg., vol. 39, no. 1, January 1985, pgs. 286-287.
C13	Benetti, F. J. et al., "Direct Coronary Surgery with Saphenous Vein Bypass Without Either Cardiopulmonary Bypass Graft or Cardiac Arrest," The Journal of Cardiovascular Surgery, Vol. 26, No. 3, May-June, 1985, pgs. 217-222.
C14	Benetti, F. J. et al., "Direct Myocardial Revascularization Without Extracorporeal Circulation," Chest, vol. 100, No. 2, August, 1991, pgs. 312-316.
C15	Benetti, F. J., "Coronary Revascularization with Arterial Conduits via a Small Thoracotomy and Assisted by Thoracoscopy, Although Without Cardiopulmonary Bypass," Cor Europaeum 4 (1) 22-24 (1995)
C16	Borst et al., "Coronary Artery Bypass Grafting Without Cardiopulmonary Bypass and Without Interruption of Native Coronary Flow Using a Novel Anastomosis Site Restraining Device ('Octopus')", JAAC Vol. 27, No. 6, May 1996:1356-64
C17	C. Borst et al., entitled "Regional Cardiac Wall Immunobilization for Open Chest and Closed Chest Coronary Artery Bypass Grafting on the Beating Heart: The 'Octopus' Method," Circulation, (Oct. 15, 1995) vol. 92, No. 8 supplemental I,I-177.
C18	Bugge, M., "A New Internal Mammary Artery Retractor," Thorac. Cardiovasc Surgeon 38, pgs. 316-17 (1990)
C19	Buffolo, E., et al., "Direct Myocardial Revascularization Without Cardiopulmonary Bypass," Thorac. Cardiovasc. Surgeon, 33 (1985) pgs. 26-29.

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	C20	Calafiore, A. M., et al., "Minimally Invasive Coronary Artery Bypass Grafting," The Annals of Thoracic Surgery, 62:1545-8, 1996	
	C21	Calvin, I. F. & Newman, D.C., "Circumflex Exposure Using a Cardiac Sling," Ann Thorac Surg 1990;49:833-4	
	C22	Campalani, G., M.D., et al., "A New Self-Retaining Internal Mammary Artery Retractor," J. Cardiovas. Surg. 28, 1987, pgs. 347-348.	
	C23	Chaux, A. and Blanche, C., "A New Concept in Sternal Retraction: Applications for Internal Mammary Artery Dissection and Valve Replacement Surgery," Ann. Thorac. Surg. 42, October 1986, pgs 473-474.	
	C24	Cohen, A.S., et al., "Mini-Sternotomy for Coronary Artery Bypass Grafting," The Annals of Thoracic Surgery 1996; 62:1884-85	
	C25	Cutler, B.S. and Cantelmo, N.L., "New Use for an Old Clamp," Archives of Surgery - Volume 115, 1136-37, September 1980	
	C26	Delacroix-Chevalier Surgical Instruments, IMA Saving Packages Brochure.	
	C27	DeRossi, A J and Lemole, GM, "A New Retractor to Aid in Coronary Artery Surgery," The Annals of Thoracic Surgery, Vol. 36, No. 1, 101-102, July 1983.	
	C28	Fanning, W. J. et al., "Reoperative Coronary Artery Bypass Grafting Without Cardiopulmonary Bypass," The Annals of Thoracic Surgery, Vol. 55, No. 2, February 1993, pgs. 486-489.	
	C29	Favaloro, R. G., et al. "Direct Myocardial Revascularization by Saphenous Vein Graft," The Annals of Thoracic Surgery, Vol. 10, No. 2, August 1970, pgs. 97-111.	
	C30	Fonger, J. D., et al., "Enhanced Preservation of Acutely Ischemic Myocardium with Transeptal Left Ventricular Assist," The Annals of Thoracic Surgery, Vol. 57, No. 3, March, 1994, pgs. 570-575.	
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APPLICANT Charles S. Taylor			
FILING DATE November 15, 1999		GROUP 3736	

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		APPLICANT Charles S. Taylor	
		FILING DATE November 15, 1999	GROUP 3736
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Title of Invention	Surgical Devices for Imposing a Negative Pressure to Stabilize Cardiac Tissue During Surgery
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Application Number:	09/440106	
Confirmation Number:	2546	
First Named Applicant:	Charles Taylor	
Attorney Docket Number:	GUID-003DIV2	
Art Unit:	3736	
Examiner:	Robert L. Nasser	
Search string:	(4094484 or 5484391 or 5906607 or 6110187 or 6139492 or 6149583 or 6183486 or 6315717 or 6346077 or 6350229 or 6394951 or 6464630 or 6478029 or 6482151 or 6494211).pn.	

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
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	2	5484391	1996-01-16	Buckman Jr. et al.			
	3	5906607	1999-05-25	Charles S. Taylor			
	4	6110187	2000-08-29	Brian S. Donlon			
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3	15	6494211	2002-12-17	Boyd et al.			

Signature

Examiner Name	Date
Nasser	2/22/04